# CS 696 Applied Large Language Models Spring Semester, 2025 Doc 13 Fine Tuning 1 Feb 20, 2025

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### References

UCI Machine Learning Repository https://archive.ics.uci.edu

**Imbalance Learn** 

https://imbalanced-learn.org/stable/user\_guide.html

Finetuning Large Language Models, Sebastian Raschka, PhD APR 22, 2023

https://magazine.sebastianraschka.com/p/finetuning-large-language-models

Building a Large Language Model (from Scratch), Sebastian Raschka

### **Loading Saved Weights & Memory**

```
model = GPTModel(BASE_CONFIG) # gpt2-xl (1558M)
device = torch.device("cuda")
model.to(device)
    Maximum GPU memory allocated: 6.4 GB
model = GPTModel(BASE_CONFIG)
model.to(device)
model.load_state_dict(
  torch.load("model.pth", map_location=device, weights_only=True)
model.to(device)
model.eval();
     Maximum GPU memory allocated: 12.8 GB
```

### Copy Weights One By One to GPU

```
model = GPTModel(BASE_CONFIG).to(device)
state dict = torch.load("model.pth", map location="cpu", weights only=True)
print_memory_usage()
# Sequentially copy weights to the model's parameters
with torch.no_grad():
  for name, param in model.named_parameters():
    if name in state_dict:
       param.copy_(state_dict[name].to(device))
    else:
       print(f"Warning: {name} not found in state_dict.")
         Maximum GPU memory allocated: 6.7 GB
```

### PyTorch's "meta" device

Tensor containing only metadata

Load a model's structure and parameters without loading the actual data into memory

Inspecting model architecture

**Code Optimization** 

Optimize the computational graph before running actual computations

# Using meta is CPU Memory limited

```
with torch.device("meta"):
  model = GPTModel(BASE CONFIG)
model = model.to_empty(device=device)
state_dict = torch.load("model.pth", map_location=device, weights_only=True)
# Sequentially copy weights to the model's parameters
with torch.no_grad():
  for name, param in model.named parameters():
     if name in state dict:
       param.copy (state dict[name])
     else:
       print(f"Warning: {name} not found in state_dict.")
```

Maximum GPU memory allocated: 12.8 GB -> Maximum CPU memory allocated: 1.3 GB

# torch.load & mmap=True Option

torch.load

Loads the entire file in memory

mmap=true

Creates a virtual memory map of file

Data is loaded on demand

Depending on available memory, some data is loaded

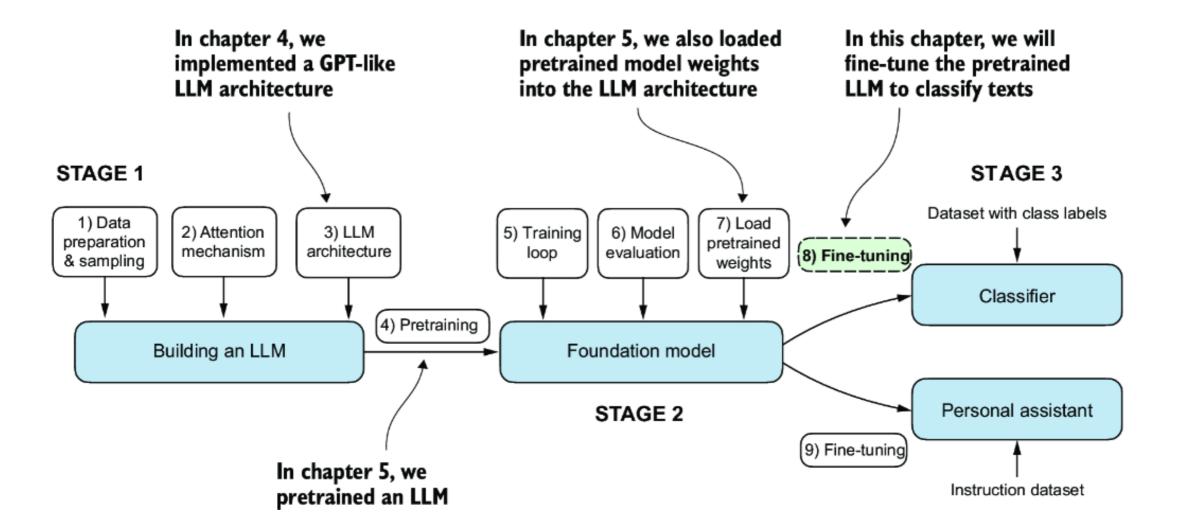
### If low on CPU, Memory

```
with torch.device("meta"):
    model = GPTModel(BASE_CONFIG)

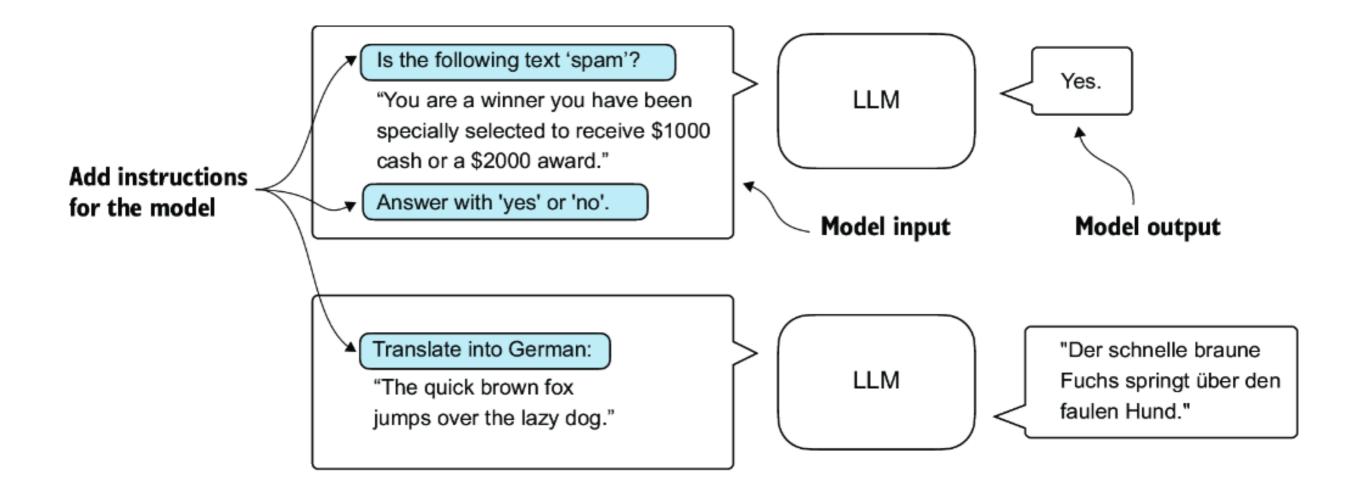
model.load_state_dict(
    torch.load("model.pth", map_location=device, weights_only=True, mmap=True),
    assign=True
)

Maximum GPU memory allocated: 6.4 GB
    -> Maximum CPU memory allocated: 5.9 GB
```

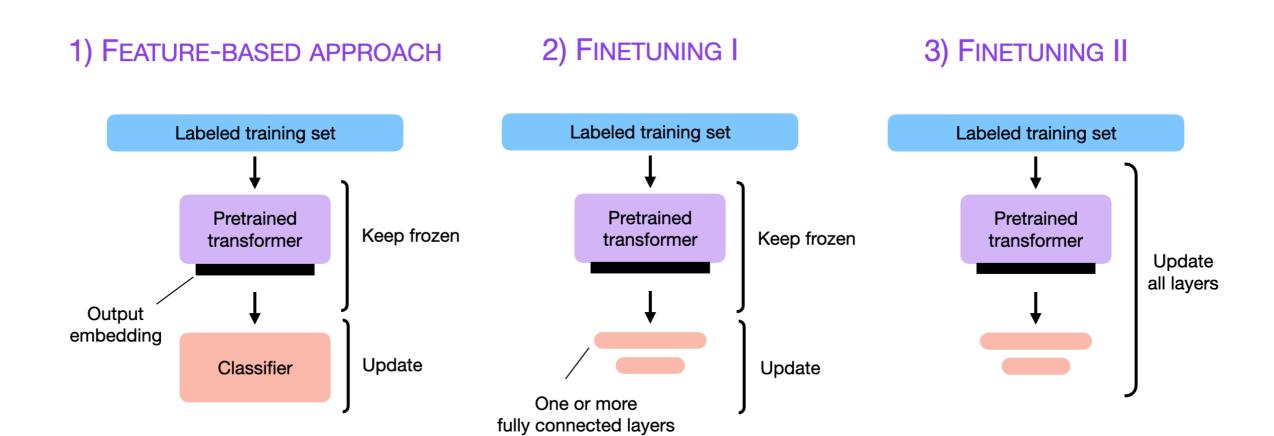
### **Fine Tuning**



# Instruction vs Classification Fine-Tuning



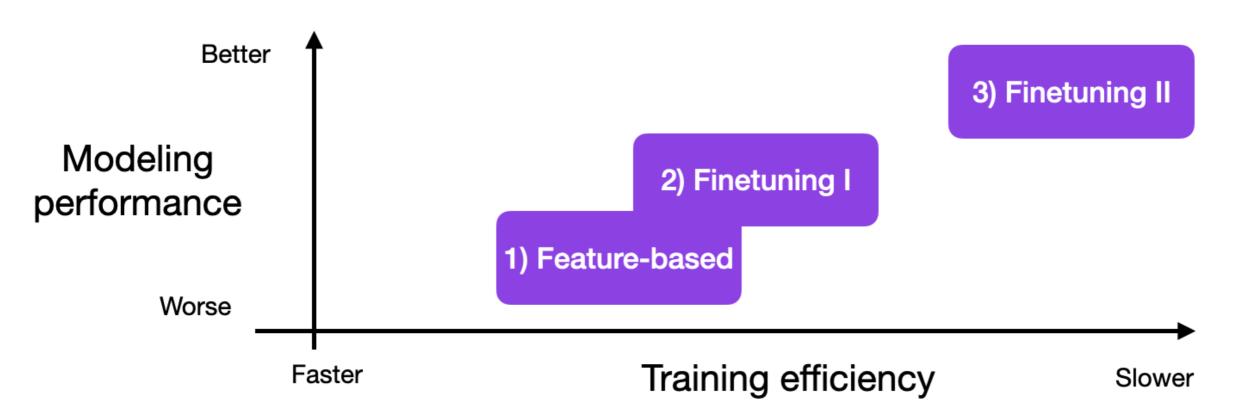
# **Finetuning Methods**



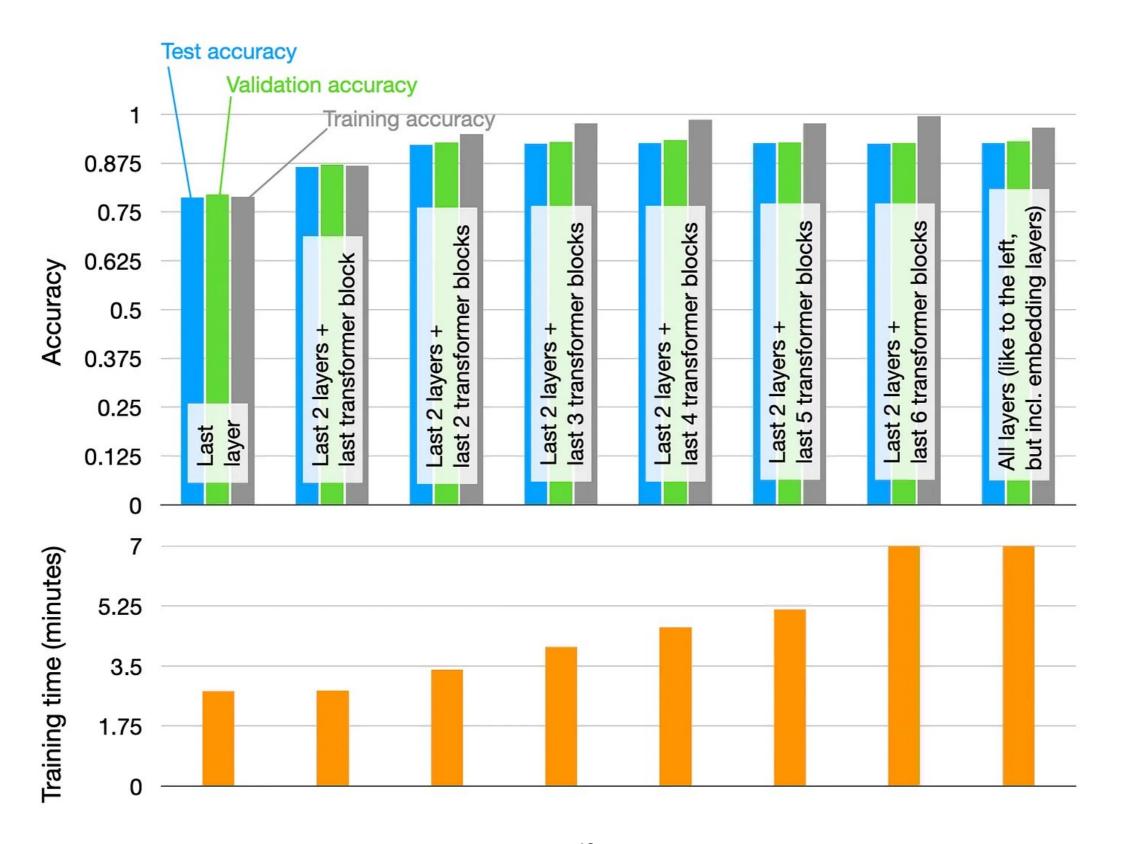
### **Movie Review Classifier REsults**

https://github.com/rasbt/LLM-finetuning-scripts/tree/main/conventional/distilbert-movie-review

- 1) Feature-based approach with logistic regression: 83% test accuracy
- 2) Finetuning I, updating the last 2 layers: 87% accuracy
- 3) Finetuning II, updating all layers: 92% accuracy.



### DistilBERT model finetuned on the 20k training examples



### Parameter-Efficient Finetuning (PEFT)

Reduced computational costs (requires fewer GPUs and GPU time);

Faster training times (finishes training faster);

Lower hardware requirements (works with smaller GPUs & less smemory);

Better modeling performance (reduces overfitting);

Less storage (majority of weights can be shared across different tasks).

Prompt modifications

Adapter methods

Reparameterization

"Hard" prompt tuning

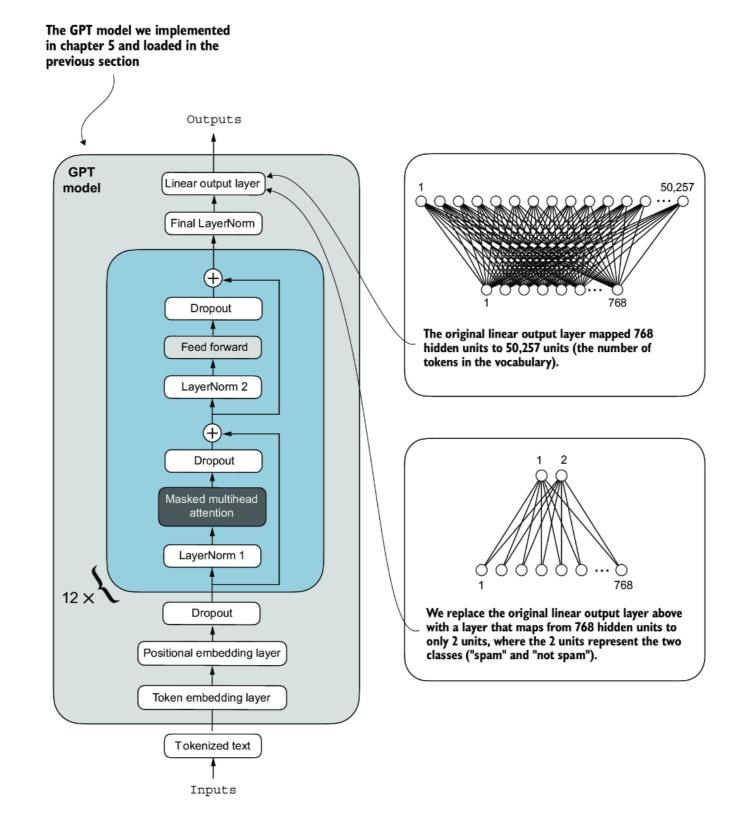
Adapters

Low rank adaptation (LoRA)

"Soft" prompt tuning

Prefix-tuning — LLaMA-Adapter

# **Classification Fine-Tuning**



### **UCI Machine Learning Repository**

https://archive.ics.uci.edu

### **Welcome to the UC Irvine Machine Learning Repository**

We currently maintain 674 datasets as a service to the machine learning community. Here, you can donate and find datasets used by millions of people all around the world!

VIEW DATASETS

CONTRIBUTE A DATASET

#### **Popular Datasets New Datasets** Lattice-physics (PWR fuel assembly neutronics simulation results) Iris A small classic dataset from Fisher, 1936. One of the earliest known datasets used for... This dataset encompasses lattice-physics parameters—the infinite multiplication fact... Q Classification Regression 39 Features 150 Instances 4 Features 24K Instances **Heart Disease** Gas sensor array low-concentration 4 databases: Cleveland, Hungary, Switzerland, and the VA Long Beach This dataset contains 6 gas responses collected by a sensor array consisting of 10 m... Q Classification Classification, Regres... 90 Instances 303 Instances 13 Features Wine Quality **Twitter Geospatial Data** Two datasets are included, related to red and white vinho verde wine samples, from th... Seven days of geo-tagged Tweet data from the United States with exact GPS location... Classification, Regres... 4.9K Instances Q Classification, Regres... 14.26M Instances 12 Features 4 Features Adult **CAN-MIRGU** Predict whether annual income of an individual exceeds \$50K/yr based on census dat... A Comprehensive CAN Bus Attack Dataset from Moving Vehicles for Intrusion Detecti... Classification Classification 48.84K Instances 14 Features 48 Instances



### **SMS Spam Collection**

Donated on 6/21/2012

The SMS Spam Collection is a public set of SMS labeled messages that have been collected for mobile phone spam research.

#### **Dataset Characteristics**

Multivariate, Text, Domain-Theory

#### **Feature Type**

Real

#### **Subject Area**

Computer Science

#### # Instances

5574

#### **Associated Tasks**

Classification, Clustering

#### # Features

\_

#### **Dataset Information**

#### Additional Information

This corpus has been collected from free or free for research sources at the Internet:

- -> A collection of 425 SMS spam messages was manually extracted from the Grumbletext Web site. This is a UK forum in which cell phone users make public claims about SMS spam messages, most of them without reporting the very spam message received. The identification of the text of spam messages in the claims is a very hard and time-consuming task, and it involved carefully scanning hundreds of web pages. The Grumbletext Web site is: http://www.grumbletext.co.uk/.
- -> A subset of 3,375 SMS randomly chosen ham messages of the NUS SMS Corpus (NSC), which is a dataset of about 10,000 legitimate messages collected for research at the Department of Computer Science at the National University of Singapore. The messages largely originate from Singaporeans and mostly from students attending the University. These messages were collected from volunteers who were made aware that their contributions were going to be made publicly

# **SMS Spam Collection**

Spreadsheet

	Label	Text
0	ham	Go until jurong point, crazy Available only
1	ham	Ok lar Joking wif u oni
2	spam	Free entry in 2 a wkly comp to win FA Cup fina
3	ham	U dun say so early hor U c already then say
4	ham	Nah I don't think he goes to usf, he lives aro
		•••
5567	spam	This is the 2nd time we have tried 2 contact u
5568	ham	Will ü b going to esplanade fr home?
5569	ham	Pity, * was in mood for that. Soany other s
5570	ham	The guy did some bitching but I acted like i'd
5571	ham	Rofl. Its true to its name

Label

ham 4825

spam 747

Imbalanced dataset

# **Handling Imbalanced Datasets**

Over-sampling

Add data to the smaller sets

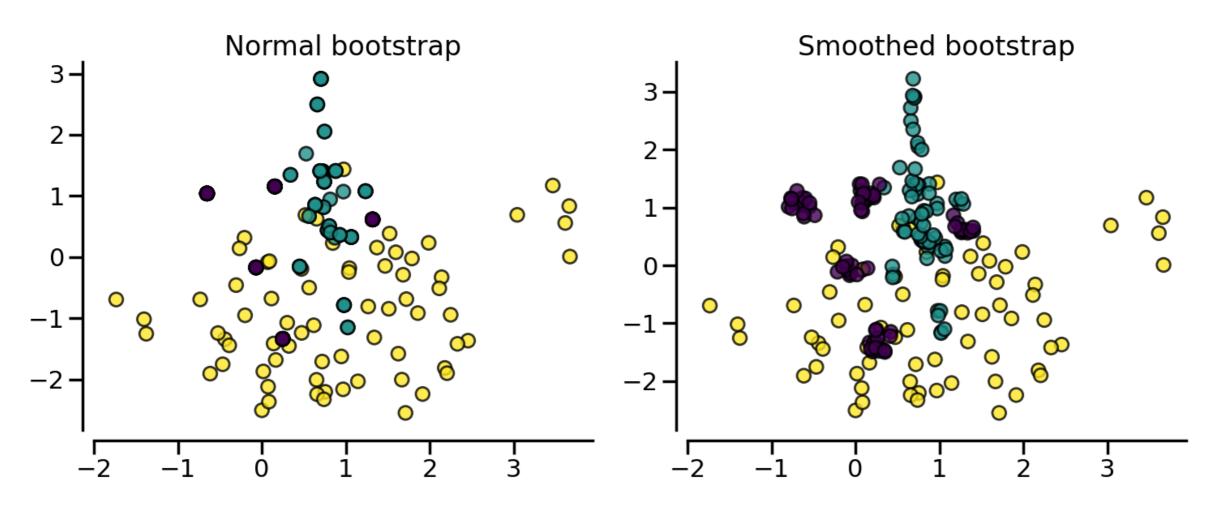
Under-sampling
Remove data from the largest set

# **Over-sampling**

Random Sampling with Replacement

Random Over-Sampling Examples (ROSE)

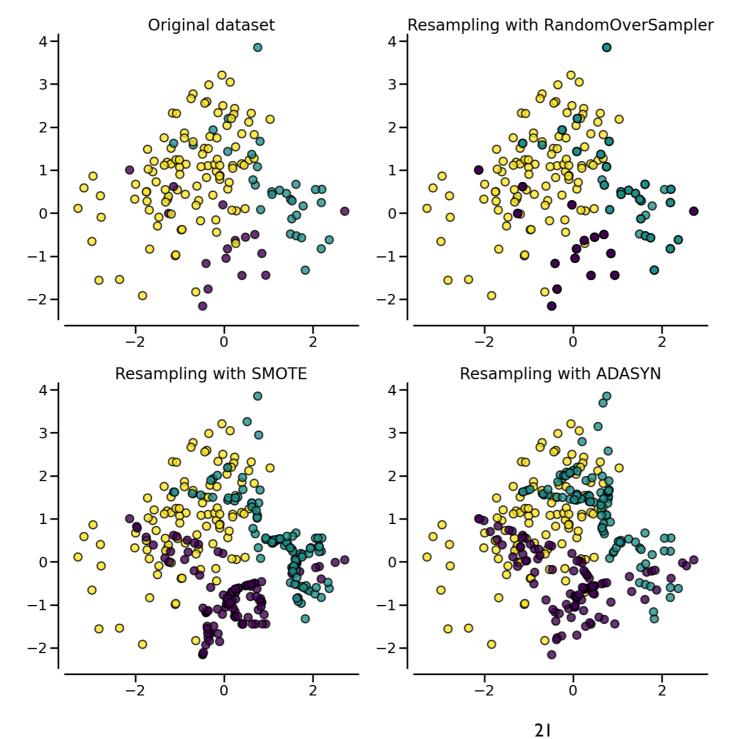
### Resampling with RandomOverSampler



### **SMOTE & ADASYN**

Synthetic Minority Oversampling Technique (SMOTE)

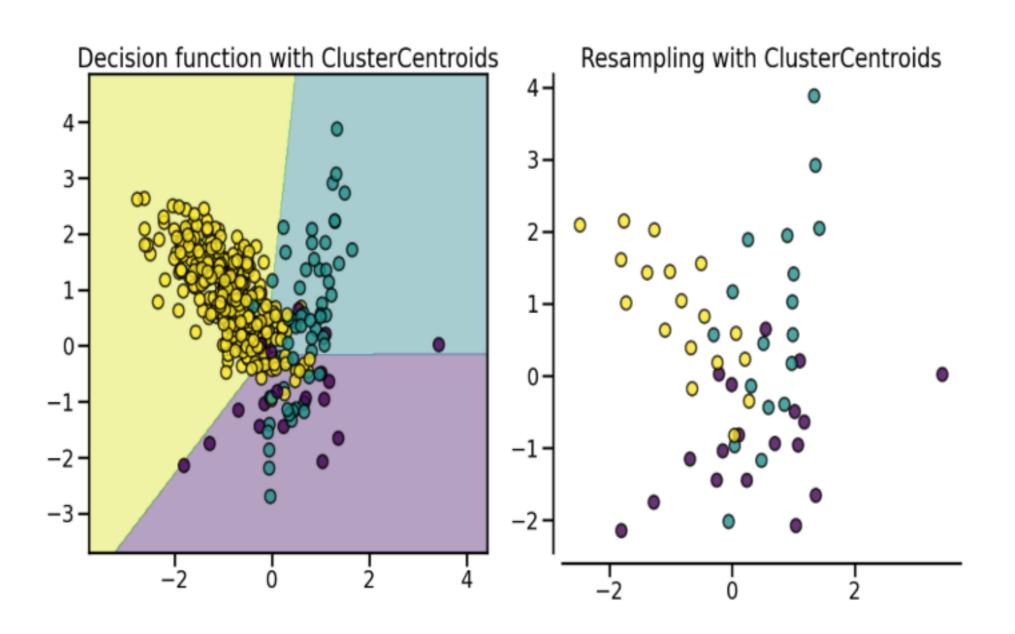
Adaptive Synthetic (ADASYN)



# **Under Sampling**

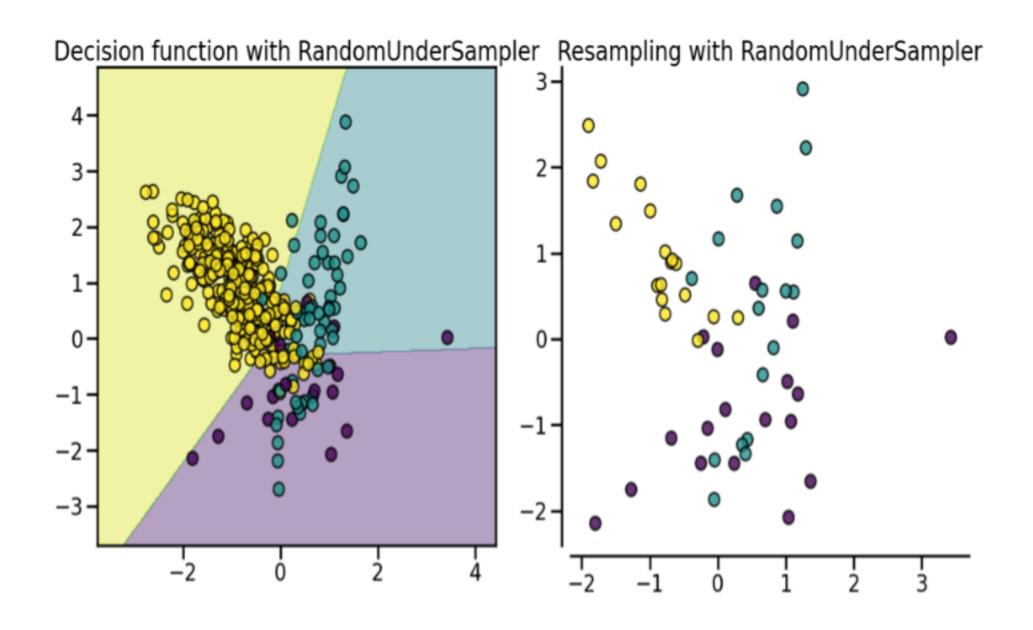
Prototype generation methods

Create a smaller set by creating new data



# **Under Sampling - Prototype selection methods**

Controlled Undersampling Random sampling



### **Under Sampling - Cleaning under-sampling**

Clean" the feature space by removing either "noisy" observations or observations that are "too easy to classify"

Tomek's links

Edited nearest neighbours

Repeated Edited Nearest Neighbours

### **Message Length**

Oh k...i'm watching here:)

U don't know how stubborn I am. I didn't even want to go to the hospital. I kept telling Mark I'm not a weak sucker. Hospitals are for weak suckers.

Yup

```
| Token 1 | Token 2 | Token 3 | Token 4 | Token 5 | Token 6 | | <-- Input Sequence
+----+
 +----+
                                <-- Sliding Window (Size 3)
 | Token 1 | Token 2 | Token 3 |
 +----+
     +-----+ <-- Target (Token 4)
 +----+
 | Token 2 | Token 3 | Token 4 |
                                <-- Next Sliding Window (Size 3)
 +----+
     +-----+ <-- Target (Token 5)
 +----+
 | Token 3 | Token 4 | Token 5 |
                                <-- Next Sliding Window (Size 3)
 +----+
       ------+ <-- Target (Token 6)
```

### **Message Length**

Oh k...i'm watching here:)

U don't know how stubborn I am. I didn't even want to go to the hospital. I kept telling Mark I'm not a weak sucker. Hospitals are for weak suckers.

Yup

#### Either

Trim all to the length of the shortest message Pad all to the length of the longest

### Create balanced Dataset

Divide into

Training 70%

Validation 10%

Testing 20%

Create

Dataset

Data Loader

Load the model

### **Dataset**

import torch from torch.utils.data import Dataset

```
class SpamDataset(Dataset):
  def __init__(self, csv_file, tokenizer, max_length=None,
          pad_token_id=50256):
    self.data = pd.read_csv(csv_file)
    self.encoded texts = [
       tokenizer.encode(text) for text in self.data["Text"]
    if max length is None:
       self.max_length = self._longest_encoded_length()
    else:
       self.max_length = max_length
       self.encoded_texts = [
         encoded text[:self.max length]
         for encoded_text in self.encoded_texts
    self.encoded texts = [
       encoded_text + [pad_token_id] * (self.max_length - len(encoded_text))
       for encoded text in self.encoded texts
```

### **Dataset**

```
def __getitem__(self, index):
  encoded = self.encoded_texts[index]
  label = self.data.iloc[index]["Label"]
  return (
     torch.tensor(encoded, dtype=torch.long),
     torch.tensor(label, dtype=torch.long)
def __len__(self):
  return len(self.data)
def _longest_encoded_length(self):
  max_length = 0
  for encoded_text in self.encoded_texts:
     encoded_length = len(encoded_text)
     if encoded_length > max_length:
       max_length = encoded_length
  return max_length
```

### The GPT model we implemented in chapter 5 and loaded in the previous section Outputs **GPT** Linear output layer model Final LayerNorm $\oplus$ Dropout The original linear output layer mapped 768 Feed forward hidden units to 50,257 units (the number of tokens in the vocabulary). LayerNorm 2 Dropout Masked multihead attention LayerNorm 1 Dropout We replace the original linear output layer above with a layer that maps from 768 hidden units to only 2 units, where the 2 units represent the two Positional embedding layer classes ("spam" and "not spam"). Token embedding layer Tokenized text Inputs

### Freeze layers

### Replace output layer

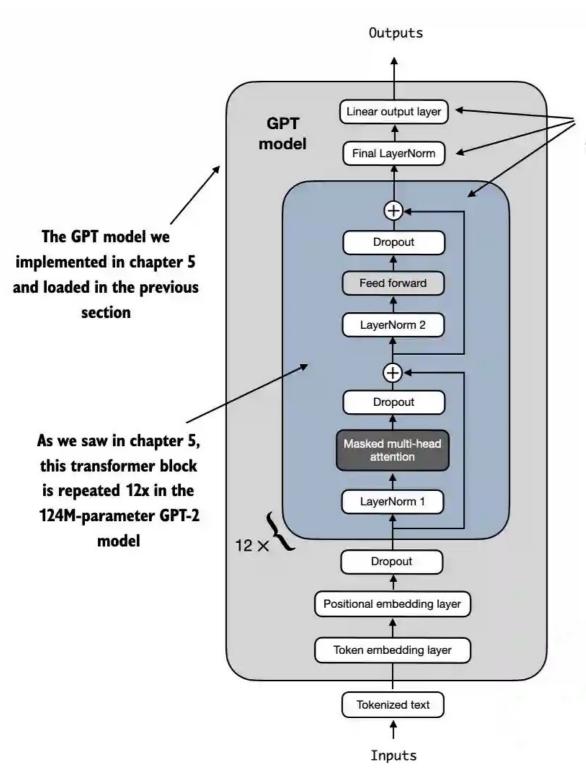
```
print(model)
                    GPTModel(
                     (tok emb): Embedding(50257, 768)
                     (pos emb): Embedding(1024, 768)
                     (drop emb): Dropout(p=0.0, inplace=False)
                     (trf blocks): Sequential(
                       (0): TransformerBlock(
                        (att): MultiHeadAttention(
                         (W query): Linear(in features=768, out features=768, bias=True)
                         (W key): Linear(in features=768, out features=768, bias=True)
                         (W value): Linear(in features=768, out features=768, bias=True)
                         (out proj): Linear(in features=768, out features=768, bias=True)
                         (dropout): Dropout(p=0.0, inplace=False)
                        (ff): FeedForward(
                         (layers): Sequential(
                          (0): Linear(in_features=768, out_features=3072, bias=True)
                          (1): GELU()
                          (2): Linear(in features=3072, out features=768, bias=True)
                        (norm1): LayerNorm()
                        (norm2): LayerNorm()
                        (drop resid): Dropout(p=0.0, inplace=False)
```

```
(1): TransformerBlock(
print(model)
                                       (att): MultiHeadAttention(
                                    (11): TransformerBlock(
                                       (att): MultiHeadAttention(
                                        (W_query): Linear(in_features=768, out_features=768, bias=True)
                                         (W_key): Linear(in_features=768, out_features=768, bias=True)
                                         (W_value): Linear(in_features=768, out_features=768, bias=True)
                                         (out_proj): Linear(in_features=768, out_features=768, bias=True)
                                         (dropout): Dropout(p=0.0, inplace=False)
                                       (ff): FeedForward(
                                        (layers): Sequential(
                                          (0): Linear(in_features=768, out_features=3072, bias=True)
                                          (1): GELU()
                                          (2): Linear(in_features=3072, out_features=768, bias=True)
                                       (norm1): LayerNorm()
                                       (norm2): LayerNorm()
                                       (drop resid): Dropout(p=0.0, inplace=False)
                                     (final norm): LayerNorm()
                                     (out head): Linear(in features=768, out features=50257, bias=False)
```

# **Feezing layers**

for param in model.parameters(): param.requires\_grad = False

# **Replacing Outer Layer**



We make the output layer, final LayerNorm, and the last transformer block trainable

> for param in model.trf\_blocks[-1].parameters(): param.requires\_grad = True

for param in model.final\_norm.parameters(): param.requires\_grad = True

### **Now Get Two Output**

```
inputs = tokenizer.encode("Do you have time")
inputs = torch.tensor(inputs).unsqueeze(0)

with torch.no_grad():
   outputs = model(inputs)

print("Outputs:\n", outputs)
print("Outputs dimensions:", outputs.shape) # shape: (batch_size, num_tokens, num_classes)
```

```
Outputs:

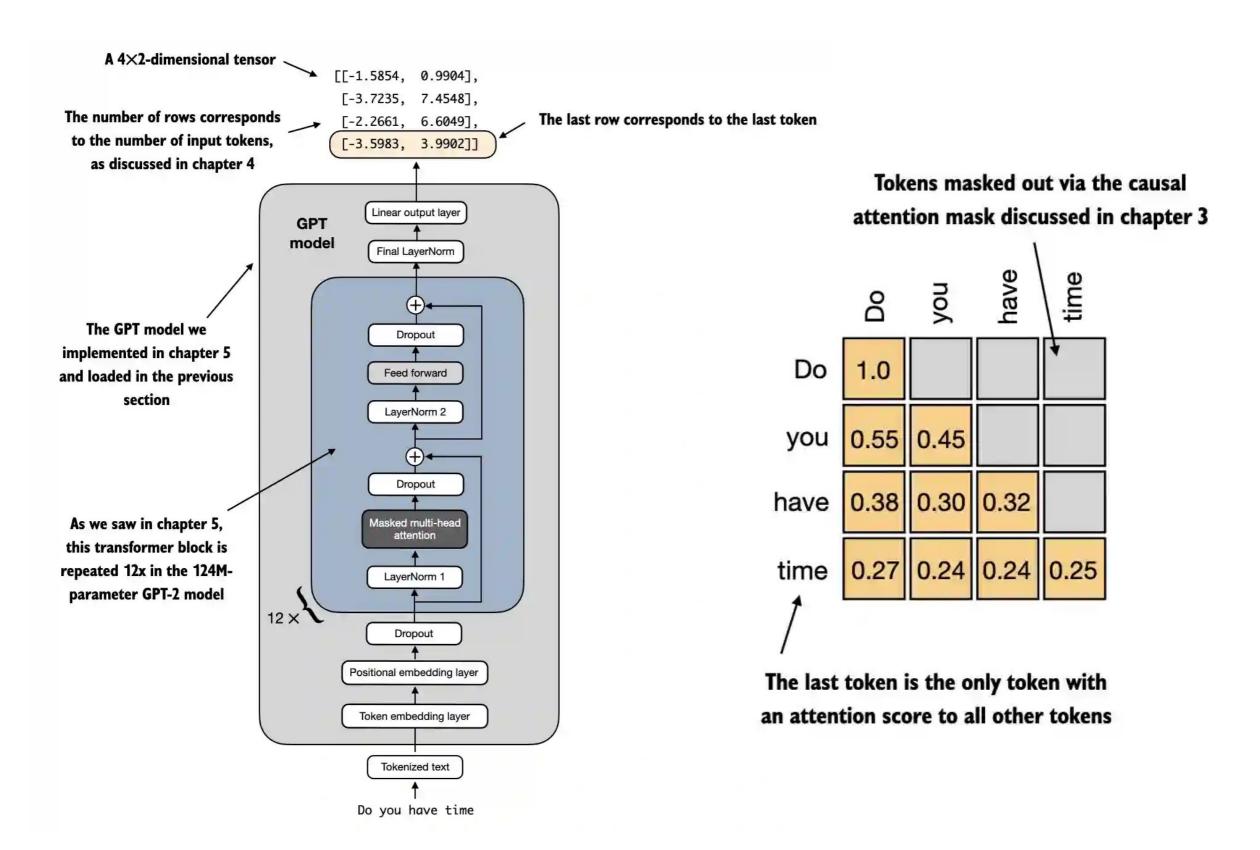
tensor([[[-1.5854, 0.9904],

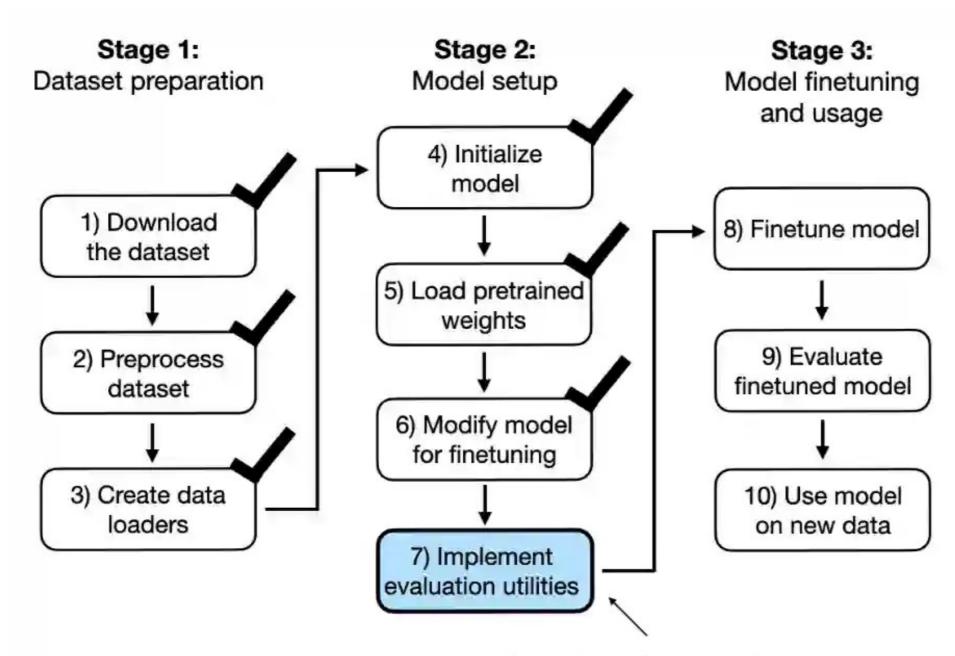
[-3.7235, 7.4548],

[-2.2661, 6.6049],

[-3.5983, 3.9902]]])

Outputs dimensions: torch.Size([1, 4, 2])
```





In this section, we implement the utility function to calculate the classification loss and accuracy of the model